

## **Title 34-B: BEHAVIORAL AND DEVELOPMENTAL SERVICES**

### **Chapter 9: INTERSTATE COMPACT ON MENTAL HEALTH**

#### **Table of Contents**

|   |          |
|---|----------|
| <b>Section 9001. PURPOSE--ARTICLE I.....</b>  | <b>3</b> |
| <b>Section 9002. DEFINITIONS--ARTICLE II.....</b>   | <b>3</b> |
| <b>Section 9003. CARE AND TREATMENT--ARTICLE III.....</b>                                     | <b>4</b> |
| <b>Section 9004. AFTERCARE--ARTICLE IV.....</b>   | <b>5</b> |
| <b>Section 9005. ESCAPE--ARTICLE V.....</b>   | <b>5</b> |
| <b>Section 9006. TRANSPORTATION OF PATIENT--ARTICLE VI.....</b>                               | <b>6</b> |
| <b>Section 9007. COSTS; RECIPROCAL AGREEMENTS--ARTICLE VII.....</b>                           | <b>6</b> |
| <b>Section 9008. GUARDIANS--ARTICLE VIII.....</b>   | <b>6</b> |
| <b>Section 9009. INCARCERATION IN PENAL OR CORRECTIONAL INSTITUTION--<br/>ARTICLE IX.....</b> | <b>7</b> |
| <b>Section 9010. COMPACT ADMINISTRATORS--ARTICLE X.....</b>                                   | <b>7</b> |
| <b>Section 9011. SUPPLEMENTARY AGREEMENTS--ARTICLE XI.....</b>                                | <b>8</b> |
| <b>Section 9012. EFFECTIVE DATE OF COMPACT--ARTICLE XII.....</b>                              | <b>8</b> |
| <b>Section 9013. WITHDRAWAL FROM COMPACT--ARTICLE XIII.....</b>                               | <b>8</b> |
| <b>Section 9014. CONSTITUTIONALITY--ARTICLE XIV.....</b>                                      | <b>8</b> |



**Maine Revised Statutes**  
**Title 34-B: BEHAVIORAL AND DEVELOPMENTAL SERVICES**  
**Chapter 9: INTERSTATE COMPACT ON MENTAL HEALTH**

**§9001. PURPOSE--ARTICLE I**

The party states find that the proper and expeditious treatment of the mentally ill and mentally deficient can be facilitated by cooperative action, to the benefit of the patients, their families and society as a whole. The party states find that the necessity of and desirability for furnishing such care and treatment bears no primary relation to the residence or citizenship of the patient, but that, on the contrary, the controlling factors of community safety and humanitarianism require that facilities and services be made available for all who are in need of them. Consequently, it is the purpose of this compact and of the party states to provide the necessary legal basis for the institutionalization or other appropriate care and treatment of the mentally ill and mentally deficient under a system that recognizes the paramount importance of patient welfare and to establish the responsibilities of the party states in term of such welfare. [1983, c. 459, §7 (NEW).]

SECTION HISTORY

1983, c. 459, §7 (NEW).

**§9002. DEFINITIONS--ARTICLE II**

As used in this compact, unless the context otherwise indicates, the following words have the following meanings. [1983, c. 459, §7 (NEW).]

**1. Aftercare.** "Aftercare" means care, treatment and services provided a patient, as defined, on convalescent status or conditional release.

[ 1983, c. 459, §7 (NEW) .]

**2. Institution.** "Institution" means any hospital or other facility maintained by a party state or political subdivision thereof for the care and treatment of mental illness or mental deficiency.

[ 1983, c. 459, §7 (NEW) .]

**3. Mental deficiency.** "Mental deficiency" means mental deficiency as defined by appropriate clinical authorities to such extent that a person is incapable of managing that person's affairs, but may not include mental illness.

[ 2009, c. 299, Pt. A, §7 (AMD) .]

**4. Mental illness.** "Mental illness" means mental disease to such extent that a person requires care and treatment for that person's own welfare or the welfare of others or of the community.

[ 2009, c. 299, Pt. A, §8 (AMD) .]

**5. Patient.** "Patient" means any person subject to or eligible, as determined by the laws of the sending state, for institutionalization or other care, treatment or supervision pursuant to this compact.

[ 1983, c. 459, §7 (NEW) .]

**6. Receiving state.** "Receiving state" means a party state to which a patient is transported pursuant to the compact or to which it is contemplated that a patient may be so sent.

[ 1983, c. 459, §7 (NEW) .]

**7. Sending state.** "Sending state" means a party state from which a patient is transported pursuant to the compact or from which it is contemplated that a patient may be so sent.

[ 1983, c. 459, §7 (NEW) .]

**8. State.** "State" means any state, territory or possession of the United States, the District of Columbia and the Commonwealth of Puerto Rico.

[ 1983, c. 459, §7 (NEW) .]

#### SECTION HISTORY

1983, c. 459, §7 (NEW). 2009, c. 299, Pt. A, §§7, 8 (AMD).

### §9003. CARE AND TREATMENT--ARTICLE III

**1. Eligibility.** Whenever a person physically present in any party state shall be in need of institutionalization by reason of mental illness or mental deficiency, he shall be eligible for care and treatment in an institution in that state irrespective of his residence, settlement or citizenship qualifications.

[ 1983, c. 459, §7 (NEW) .]

**2. Transfer.** Subsection 1 to the contrary notwithstanding, any patient may be transferred to an institution in another state whenever there are factors based upon clinical determinations indicating that the care and treatment of said patient would be facilitated or improved thereby. Any such institutionalization may be for the entire period of care and treatment or for any portion or portions thereof. The factors referred to in this subsection shall include the patient's full record with due regard for the location of the patient's family, character of the illness and probable duration thereof, and such other factors as shall be considered appropriate.

[ 1983, c. 459, §7 (NEW) .]

**3. Duties of receiving and sending states.** No state shall be obliged to receive any patient pursuant to subsection 2 unless the sending state has given advance notice of its intention to send the patient; furnished all available medical and other pertinent records concerning the patient; given the qualified medical or other appropriate clinical authorities of the receiving state an opportunity to examine the patient if said authorities so wish; and unless the receiving state shall agree to accept the patient.

[ 1983, c. 459, §7 (NEW) .]

**4. Priorities.** In the event that the laws of the receiving state establish a system of priorities for the admission of patients, an interstate patient under this compact shall receive the same priority as a local patient and shall be taken in the same order and at the same time that he would be taken if he were a local patient.

[ 1983, c. 459, §7 (NEW) .]

**5. Review and further transfer.** Pursuant to this compact, the determination as to the suitable place of institutionalization for a patient may be reviewed at any time and such further transfer of the patient may be made as seems likely to be in the best interest of the patient.

[ 1983, c. 459, §7 (NEW) .]

#### SECTION HISTORY

1983, c. 459, §7 (NEW).

### §9004. AFTERCARE--ARTICLE IV

**1. Investigation.** Whenever, pursuant to the laws of the state in which a patient is physically present, it shall be determined that the patient should receive aftercare or supervision, such care or supervision may be provided in a receiving state. If the medical or other appropriate clinical authorities having responsibility for the care and treatment of the patient in the sending state shall have reason to believe that aftercare in another state would be in the best interest of the patient and would not jeopardize the public safety, they shall request the appropriate authorities in the receiving state to investigate the desirability of affording the patient such aftercare in said receiving state, and such investigation shall be made with all reasonable speed. The request for investigation shall be accompanied by complete information concerning the patient's intended place of residence and the identity of the person in whose charge it is proposed to place the patient, the complete medical history of the patient, and such other documents as may be pertinent.

[ 1983, c. 459, §7 (NEW) .]

**2. Aftercare in receiving state.** If the medical or other appropriate clinical authorities having responsibility for the care and treatment of the patient in the sending state and the appropriate authorities in the receiving state find that the best interest of the patient would be served thereby, and if the public safety would not be jeopardized thereby, the patient may receive aftercare or supervision in the receiving state.

[ 1983, c. 459, §7 (NEW) .]

**3. Standards.** In supervising, treating or caring for a patient on aftercare pursuant to the terms of this Article, a receiving state shall employ the same standards of visitation, examination, care and treatment that it employs for similar local patients.

[ 1983, c. 459, §7 (NEW) .]

#### SECTION HISTORY

1983, c. 459, §7 (NEW).

### §9005. ESCAPE--ARTICLE V

Whenever a dangerous or potentially dangerous patient escapes from an institution in any party state, that state shall promptly notify all appropriate authorities within and without the jurisdiction of the escape in a manner reasonably calculated to facilitate the speedy apprehension of the escapee. Immediately upon the apprehension and identification of any such dangerous or potentially dangerous patient, the dangerous or potentially dangerous patient must be detained in the state where found pending disposition in accordance with law. [2009, c. 2, §96 (COR).]

#### SECTION HISTORY

1983, c. 459, §7 (NEW). RR 2009, c. 2, §96 (COR).

## §9006. TRANSPORTATION OF PATIENT--ARTICLE VI

The duly accredited officers of any state party to this compact, upon the establishment of their authority and the identity of the patient, shall be permitted to transport any patient being moved pursuant to this compact through any and all states party to this compact, without interference. [1983, c. 459, §7 (NEW) .]

### SECTION HISTORY

1983, c. 459, §7 (NEW) .

## §9007. COSTS; RECIPROCAL AGREEMENTS--ARTICLE VII

**1. Patient at only one institution.** No person shall be deemed a patient of more than one institution at any given time. Completion of transfer of any patient to an institution in a receiving state shall have the effect of making the person a patient of the institution in the receiving state.

[ 1983, c. 459, §7 (NEW) .]

**2. Costs.** The sending state shall pay all costs of and incidental to the transportation of any patient pursuant to this compact, but any 2 or more party states may, by making a specific agreement for that purpose, arrange for a different allocation of costs as among themselves.

[ 1983, c. 459, §7 (NEW) .]

**3. Internal relationships not affected.** No provision of this compact shall be construed to alter or affect any internal relationships among the departments, agencies and officers of and in the government of a party state, or between a party state and its subdivisions, as to the payment of costs or responsibilities therefor.

[ 1983, c. 459, §7 (NEW) .]

**4. Asserting rights for costs.** Nothing in this compact shall be construed to prevent any party state or subdivision thereof from asserting any right against any person, agency or other entity in regard to costs for which such party state or subdivision thereof may be responsible, pursuant to any provision of this compact.

[ 1983, c. 459, §7 (NEW) .]

**5. Reciprocal agreements not invalidated.** Nothing in this compact shall be construed to invalidate any reciprocal agreement between a party state and a nonparty state relating to institutionalization, care or treatment of the mentally ill or mentally deficient, or any statutory authority pursuant to which such agreements may be made.

[ 1983, c. 459, §7 (NEW) .]

### SECTION HISTORY

1983, c. 459, §7 (NEW) .

## §9008. GUARDIANS--ARTICLE VIII

**1. Supplemental or substitute guardian.** Nothing in this compact may be construed to abridge, diminish or in any way impair the rights, duties and responsibilities of any patient's guardian on the guardian's own behalf or in respect of any patient for whom the guardian may serve, except that, where the transfer of any patient to another jurisdiction makes advisable the appointment of a supplemental or substitute guardian, any court of competent jurisdiction in the receiving state may make such supplemental or substitute appointment and the court that appointed the previous guardian shall, upon being duly advised of the new appointment, and upon the satisfactory completion of such accounting and other acts as such court may by

law require, relieve the previous guardian of power and responsibility to whatever extent is appropriate in the circumstances. In the case of any patient having settlement in the sending state, the court of competent jurisdiction in the sending state has the sole discretion to relieve a guardian appointed by it or continue the guardian's power and responsibility, whichever the court considers advisable. The court in the receiving state may, in its discretion, confirm or reappoint the person or persons previously serving as guardian in the sending state in lieu of making a supplemental or substitute appointment.

[ 2011, c. 420, Pt. A, §29 (AMD) .]

**2. Guardian defined.** The term "guardian" as used in subsection 1 shall include any guardian, trustee, legal committee, conservator or other person or agency however denominated who is charged by law with power to act for or have responsibility for the person or property of a patient.

[ 1983, c. 459, §7 (NEW) .]

#### SECTION HISTORY

1983, c. 459, §7 (NEW). 2011, c. 420, Pt. A, §29 (AMD).

## **§9009. INCARCERATION IN PENAL OR CORRECTIONAL INSTITUTION-- ARTICLE IX**

**1. Application.** No provision of this compact except Article V shall apply to any person institutionalized while under sentence in a penal or correctional institution or while subject to trial on a criminal charge, or whose institutionalization is due to the commission of an offense for which, in the absence of mental illness or mental deficiency, said person would be subject to incarceration in a penal or correctional institution.

[ 1983, c. 459, §7 (NEW) .]

**2. Policy not to jail.** To every extent possible, it shall be the policy of states party to this compact that no patient shall be placed or detained in any prison, jail or lockup, but such patient shall, with all expedition, be taken to a suitable institutional facility for mental illness or mental deficiency.

[ 1983, c. 459, §7 (NEW) .]

#### SECTION HISTORY

1983, c. 459, §7 (NEW).

## **§9010. COMPACT ADMINISTRATORS--ARTICLE X**

**1. Duties.** Each party state shall appoint a "compact administrator" who, on behalf of his state, shall act as general coordinator of activities under the compact in his state and who shall receive copies of all reports, correspondence and other documents relating to any patient processed under the compact by his state either in the capacity of sending or receiving state. The compact administrator or his duly designated representative shall be the official with whom other party states shall deal in any matter relating to the compact or any patient processed thereunder.

[ 1983, c. 459, §7 (NEW) .]

**2. Rules and regulations.** The compact administrators of the respective party states shall have power to promulgate reasonable rules and regulations to carry out more effectively the terms and provisions of this compact.

[ 1983, c. 459, §7 (NEW) .]

#### SECTION HISTORY

1983, c. 459, §7 (NEW).

## §9011. SUPPLEMENTARY AGREEMENTS--ARTICLE XI

The duly constituted administrative authorities of any 2 or more party states may enter into supplementary agreements for the provision of any service or facility or for the maintenance of any institution on a joint or cooperative basis whenever the states concerned shall find that such agreements will improve services, facilities or institutional care and treatment in the fields of mental illness or mental deficiency. No such supplementary agreement shall be construed so as to relieve any party state of any obligation which it otherwise would have under other provisions of this compact. [1983, c. 459, §7 (NEW) .]

#### SECTION HISTORY

1983, c. 459, §7 (NEW).

## §9012. EFFECTIVE DATE OF COMPACT--ARTICLE XII

This compact shall enter into full force and effect as to any state when enacted by it into law and such state shall thereafter be a party thereto with any and all states legally joining therein. [1983, c. 459, §7 (NEW) .]

#### SECTION HISTORY

1983, c. 459, §7 (NEW).

## §9013. WITHDRAWAL FROM COMPACT--ARTICLE XIII

**1. Procedure; effective date; effect.** A state party to this compact may withdraw therefrom by enacting a statute repealing the same. Such withdrawal shall take effect one year after notice thereof has been communicated officially and in writing to the governors and compact administrators of all other party states. The withdrawal of any state shall not change the status of any patient who has been sent to said state or sent out of said state pursuant to the compact.

[ 1983, c. 459, §7 (NEW) .]

**2. Costs and supplementary agreements.** Withdrawal from any agreement permitted by Article VII, subsection 2, as to costs or from any supplementary agreement made pursuant to Article XI shall be in accordance with the terms of such agreement.

[ 1983, c. 459, §7 (NEW) .]

#### SECTION HISTORY

1983, c. 459, §7 (NEW).

## §9014. CONSTITUTIONALITY--ARTICLE XIV

This compact shall be liberally construed so as to effectuate the purposes thereof. The provisions of this compact shall be severable and if any phrase, clause, sentence or provision of this compact is declared to be contrary to the constitution of any party state or of the United States or the applicability thereof to any government, agency, person or circumstance is held invalid, the validity of the remainder of this compact and



the applicability thereof to any government, agency, person or circumstance shall not be affected thereby. If this compact shall be held contrary to the constitution of any state party thereto, the compact shall remain in full force and effect as to the remaining states and in full force and effect as to the state affected as to all severable matters. [1983, c. 459, §7 (NEW).]

#### SECTION HISTORY

1983, c. 459, §7 (NEW).

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